Tidal and Thermal Stresses Drive Seismicity along a Major Ross Ice Shelf Rift

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11	Key Points:
12	• 2515 icequakes associated with rift deformation on the Ross Ice Shelf are located
13	using a double difference location algorithm.
14	• Icequake timing correlates with tidal phase on a diurnal timescale and inversely
15	correlates with air temperature on multi-day and seasonal timescales.
16	• Ocean swell, infragravity waves and a significant tsunami arrival are not correlated
17	with increased rift activity.

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18 Abstract

Understanding deformation in ice shelves is necessary to evaluate the response of 19 ice shelves to thinning. We study microseismicity associated with ice shelf deformation 20 using 9 broadband seismographs deployed near a rift on the Ross Ice Shelf. From De-21 cember 2014 - November 2016, we detect 5948 icequakes generated by rift deformation. 22 Locations were determined for 2515 events using a least squares grid-search and double-23 difference algorithm. Ocean swell, infragravity waves and a significant tsunami arrival 24 do not affect seismicity. Instead, seismicity correlates with tidal phase on diurnal timescales 25 and inversely correlates with air temperature on multi-day and seasonal timescales. Spa-26 tial variability in tidal elevation tilts the ice shelf, and seismicity is concentrated while 27 the shelf slopes downward toward the ice front. During especially cold periods, thermal 28 stress and embrittlement enhance fracture along the rift. We propose that thermal stress 29 and tidally-driven gravitational stress produce rift seismicity with peak activity in the 30 winter. 31

³² Plain Language Summary

In Antarctica, large bodies of floating ice called ice shelves help prevent ice on land 33 from sliding into the ocean. To predict how Antarctica might respond to climate change, 34 we need to understand how ice shelves interact with the environment, including the at-35 mosphere and the ocean. The largest ice shelf, the Ross Ice Shelf, is over $500,000 \text{ km}^2$ 36 in area, making it the largest body of floating ice in the world. In this study, we deployed 37 9 seismographs, the same instruments used to study earthquakes, to monitor vibrations 38 and cracking within the Ross Ice Shelf over a two-year period. During that time, the in-39 struments detected nearly 6000 fracture events along a 120 km long crack in the ice shelf. 40 We compared the timing of the cracking to air temperature data, ocean wave activity, 41 and tides to see whether these factors influenced the crack's behavior. We found that 42 fracture occurs most frequently just after high tide during winter, when the air is very 43 cold. We also found that fracture at the rift is not triggered by ocean waves. This work 44 demonstrates that Antarctic ice shelves are very sensitive to the environment and high-45 lights the need to continue studying them. 46

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47 **1** Introduction

The need to understand marine ice sheet stability under various climate scenar-48 ios has fueled ongoing discourse about the nature and forcing of fracturing processes in 49 floating ice shelves. Brittle deformation of large ice shelves is dominated by the prop-50 agation of large, through-cutting fracture-propagated rifts (Benn et al., 2007). Debate 51 has largely focused on the relative importance and interactions of ocean wave-induced 52 stresses (Holdsworth & Glynn, 1978; MacAyeal et al., 2008; Cathles et al., 2009; K. M. Brunt 53 et al., 2011; Bromirski et al., 2010; Bromirski & Stephen, 2012; Bromirski et al., 2015; 54 Banwell, 2017; Massom et al., 2018), glacial stresses (Bassis et al., 2005; Hulbe et al., 2010a; 55 LeDoux et al., 2017), and rift-filling melange (Fricker et al., 2005; Larour et al., 2004; 56 Rignot & MacAyeal, 1998; MacAyeal et al., 1998). Seismic observations have the abil-57 ity to quantify small scale brittle deformation in the form of icequakes, and thus provide 58 an efficient means to investigate the spatial and temporal extent of ice shelf fracture pro-59 cesses (Bassis et al., 2007; Heeszel et al., 2014; Hulbe et al., 2016; Lipovsky, 2018). 60

As part of two coupled projects to study the dynamics of the Ross Ice Shelf (RIS) 61 and the solid Earth structure beneath (Bromirski et al., 2015), 9 broadband seismographs 62 were deployed spanning both sides of a large rift located about 150 km south of the RIS 63 front (Figure 1), denoted as WR4 (Walker et al., 2013). This deployment provides an 64 important opportunity to investigate the role of various processes that drive ice shelf frac-65 ture. LeDoux et al. (2017) found that rift WR4's eastern tip currently is located adja-66 cent to a suture zone, a region of deformed ice that arrests rift propagation, and multi-67 year imagery has confirmed that WR4 is not actively propagating (Walker et al., 2013). 68 Despite WR4's apparent inactivity, the RIS array detected numerous icequakes in the 69 vicinity of the rift, which we use to determine whether the rift is undergoing active brit-70 the deformation and to provide constraints on the forces influencing rift propagation. 71

- 72 2 Data
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2.1 Seismic Data

This study uses data collected by two simultaneous collaborative seismograph deployments on the Ross Ice Shelf. We use 9 seismic stations deployed along two lines that intersect near 78.96°S, 179.88°W (Figure 1). These stations are a subset of the 34 seismic stations that were deployed across a much larger region (Figure 1). Each station con-

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sisted of a Nanometrics T120 PHQ broadband seismometer buried about 1 meter into
the firn recording at 200 samples per second and a Quanterra Q330 datalogger. The equipment was powered by solar panels in the austral summer and by lithium batteries in the
winter. The instruments were deployed in November 2014 and the first year of data was
retrieved November - December of 2015. The instruments were recovered, and the final
year of data was retrieved in November - December of 2016.

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2.2 Weather and Environmental Data

Temperature data from the Automatic Weather Station (AWS) project was used to investigate whether seismicity is correlated with meteorological phenomena. The AWS weather stations are deployed across Antarctica and record temperature, pressure, wind speed, and humidity (Lazzara et al., 2012). Because of its proximity to the array and the completeness of its records, we use data from station Gill, located at 79.823°S, 178.536°W at a distance of 80 km from the center of the array.

91 3 Methods

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3.1 Detection and Location

We utilized an automated short-term average/long-term average (STA/LTA) event 93 detection routine to form a catalog of any local activity recorded on stations with a high 94 signal-to-noise ratio. We detected 5948 unique events throughout both years of data af-95 ter manually removing all detections of non-local seismicity. To generate arrival times 96 and to analyze the similarity of the detected events, we applied a cross-correlation and 97 clustering algorithm to the entire dataset. However, the events did not exhibit high cor-98 relation values, and the clusters generated were not consistent across different stations 99 and components, indicating that, unlike many icequakes, the events are not true repeat-100 ing events. A typical event waveform is shown in Supplementary Figure 1. 101

We initially located a subset of the largest events from 2015 using manually-picked P and S wave arrival times. However, direct P and S wave arrivals were often small and emergent, making it difficult to pick arrival times consistently. Because vertical component Rayleigh wave arrivals were much easier to identify, we located the entire dataset using Rayleigh wave arrival times. We calculated envelope functions for each event waveform on DR14, DR13, DR12, DR11, DR10, DR09, DR08, DR07, and RS04. Because these

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icequake waveforms are dominated by surface waves, the maximum value of each envelope function corresponds to the arrival of Rayleigh waves. A STA/LTA threshold was
 also applied to prevent incorrect identification of arrivals. Using this process, Rayleigh arrival times were automatically generated for all 5948 events.

We located the events that had Rayleigh phase arrivals on more than five stations. 112 We first used a simple grid-search algorithm that minimized the misfit between observed 113 and predicted Rayleigh arrivals by calculating a summed squared error for each poten-114 tial location in the grid and selecting the lowest error point. In order to calculate mis-115 fit, we back-propagated the arrivals and took the mean time as the origin time. Once 116 satisfactory locations were obtained from the grid-search, we removed arrivals from sta-117 tions with travel time residuals greater than 1 second for each event. Events now with 118 less than five arrivals were removed, and the remaining events were relocated using a stan-119 dard iterative least-squares inversion. From the resulting locations, those with location 120 and origin time standard deviations greater than 2 km and 2 seconds were removed, and 121 the remaining 2515 events were relocated using a double-difference relative location method. 122

To determine an accurate Rayleigh phase velocity for use in event relocation, grid-123 search locations were calculated using an initial velocity of 1.5 km/s. We then selected 124 all events aligned with DR13, DR12, and DR10 and calculated the time difference be-125 tween arrivals on DR13 and DR12, DR13 and DR10, and DR12 and DR10 to obtain es-126 timates of Rayleigh velocity largely independent of source locations. A least-squares in-127 version was then used to determine the velocity that minimized the misfit between the 128 observed and predicted arrival time differences calculated using the known distances be-129 tween stations. This calculation resulted in a Rayleigh velocity of 1.55 km/s, which was 130 then used in the grid-search, linearized inversion, and double-difference location meth-131 ods. This Rayleigh wave velocity is similar to that predicted for a structure of slow ve-132 locity firn overlying ice. 133

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3.2 Comparison with Environmental and Weather Phenomena

To investigate the relationship between rift seismicity and the atmosphere, we compare the timing of seismicity with Automatic Weather Station air temperature data from 2015 and 2016 (Lazzara et al., 2012). We also compare the timing of events with tidal phase and tidal slope. Tidal heights were obtained by running the CATS2008 model, an

update to the model described by Padman, Fricker, Coleman, Howard, and Erofeeva (2002), 139 for the duration of the deployment at the center of the array (DR10). To find the time 140 of each high tide, local maxima were calculated for the dataset. We then calculated the 141 difference in time between each event and the most recent high tide. The resulting times 142 were binned by hour, yielding the number of events that occur in each hour after high 143 tide. Finally, we divided by the total length of the corresponding tidal cycle to yield a 144 measure of event timing binned by tidal phase. We calculated the slope at the ice shelf 145 by running the CATS2008 model at both the grounding line $(82^{\circ}S, 164^{\circ}E)$ and the front 146 (78.5°S, 179°E), finding their difference, and dividing by the distance between the two 147 points. 148

To investigate swell and infragravity waves, we made a spectrogram of the long pe-149 riod horizontal north-south component data from DR10. Previous work by Bromirski 150 et al. (2017) found that IG waves generate greater horizontal displacements than ver-151 tical displacements on RIS, so we used the horizontal component data for our analysis 152 of ocean swell and IG waves. Before generating a spectrogram, we first removed the in-153 strument response to displacement on the frequency band 0.015 Hz - 0.2 Hz. To find swell 154 band power, we integrated the spectrogram over the frequency band 0.03 Hz - 0.15 Hz 155 for each window used to produce the spectrogram. To find IG band power, we integrated 156 the spectrogram over the frequency band 0.015 Hz - 0.03 Hz for each window used to 157 produce the spectrogram. These frequency limits were selected based on the ocean wave 158 classification presented in Toffoli and Bitner-Gregersen (2017). 159

¹⁶⁵ 4 Characteristics and Locations of Rift Seismicity

Icequakes with high signal-to-noise exhibit distinct P, S, surface wave, and longi-166 tudinal plate wave arrivals (Press & Ewing, 1951). Icequake events are lower frequency 167 than similarly-sized tectonic earthquakes (Aki, 1967) with peak amplitudes between 1-168 4 Hz. In all cases, the icequake-associated surface waves have the largest amplitudes. We 169 find that the icequakes range in size from $M_L = -2.5$ to $M_L = 0$ (Equation 1), and there 170 is evidence for a b-value greater than 1 based on the statistics of larger magnitude events, 171 indicating that this sequence of icequakes has a higher ratio of small to large events than 172 predicted by a standard Gutenberg-Richter distribution. Typical waveforms are shown 173 in Supplementary Figure 1. 174



Figure 1. Study site and icequake locations. (a) Locations of rift WR4, the seismograph array, and weather station Gill on the Ross Ice Shelf. Data from stations DR07 and DR06 (not shown) was additionally used to locate icequakes. (b) Locations of 2500 icequakes at WR4. East of about 180°, icequake locations fall along central axis of rift; west of 180°, icequake locations fall within en echelon features containing rift-filling melange. Arrows indicate suture zone fabric.

The icequake epicenters were located using a double difference method and auto-175 mated picks of peak Rayleigh wave arrival times on the vertical component seismogram. 176 The icequakes are located along a 30 km segment of the rift (Figure 1), associated with 177 three broad regional patterns of icequake activity. First, icequakes located east of about 178 $180^{\circ}E$ are aligned with the central axis of the rift. Because the observed icequakes have 179 high amplitude surface waves relative to body waves, it is likely that the icequakes oc-180 cur near the ice shelf surface (Lough et al., 2015). Possible sources include fracture along 181 the upper edges of the rift walls and collision, settling, or fracture of the blocks of ice 182 within the melange. 183

Second, many of the icequakes west of about 180°E occur along a series of en echelon features within the wider region of rift-filling melange. Because the standard deviations of relative icequake locations are typically around 100 m and because of the strong spatial association of the events with the en echelon shear zone features, we are confident that these events originated within the melange and were not incorrectly located events occurring along the rift walls. We therefore interpret this second group of icequakes to be caused by deformation of the rift-filling melange. Because events in the melange

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¹⁹¹ begin to occur where the rift widens, we speculate that the type of brittle ice shelf de¹⁹² formation recorded here may cause widening at the middle of the rift. See Supplemen¹⁹³ tary Figure 2 for a more detailed view of rift morphology.

Third, events decrease in number west of about 179.5°E and distant events are typ-194 ically less precisely located. To determine whether the apparent concentration of icequakes 195 is an artifact of our network geometry, we examined the magnitude of the events at var-196 ious positions along the rift. We find that the prevalence of low magnitude events de-197 creases with distance from the intersection of the array and the rift, suggesting that the 198 apparent decrease in seismicity away from the network may be the result of attenuation 199 of similar-sized signals from more distant icequakes. It is therefore possible that relatively 200 uniform levels of seismicity occur along the entire rift. 201

Icequakes are not clustered in front of the rift tip as was previously observed at the 202 actively-propagating Loose Tooth Rift in the Amery Ice Shelf (Bassis et al., 2008; Heeszel 203 et al., 2014) but instead cease abruptly where the rift reaches the adjacent suture zone 204 at around 179.5°W. This decrease in seismicity is not due to reduced array detection ca-205 pability to the west, as very small events are detected in the rift near the rift tip. We 206 interpret the lack of icequakes at the rift tip to indicate a lack of brittle deformation in 207 this region. Since westward propagation of the WR4 rift tip has currently stagnated (Walker 208 et al., 2013; LeDoux et al., 2017), our inferred lack of brittle deformation is therefore con-209 sistent with rift stagnation in suture zones (Hulbe et al., 2010b; McGrath et al., 2014; 210 Kulessa et al., 2014; Borstad et al., 2017). 211

5 Ice Shelf Slope from Ocean Tides Controls Diurnal Patterns in Seismicity

We observe tidal modulation of the icequake activity (Figure 2a). As tides rise, the 220 level of seismicity increases until about 5 hours after high tide, when the level of seis-221 micity begins to fall. The timing of events within a day is well-correlated with the tidally-222 modulated slope of the ice shelf, with the highest seismicity rates when the entire Ross 223 Ice Shelf slopes downwards toward the ocean (Figure 2b). When the shelf tilts, a hor-224 izontal component of gravitational force arises in the plane of the ice shelf. If the shelf 225 is tilted towards the continent, the rift is under compression and we see low levels of seis-226 micity; if the shelf is tilted seawards, the rift is subjected to additional extensional stress 227 and we see high levels of seismicity. Using the CATS2008 tide model (Padman et al., 2002), 228

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Figure 2. Tidal slope and rift seismicity. (a) Histogram of event timing as a function of tidal phase plotted with ocean surface slope (blue lines) for each tidal cycle during the duration of the deployment. (b) Modeled tidal heights at 81.75°S, -175°W, the center of the ice shelf (top), and in map view across the RIS (bottom) demonstrating the ocean surface slope relationships shown in (a). Red dots in the top figures identify the timing of the ice shelf height maps. Tidal heights were obtained using the CATS2008 tidal model (Padman et al., 2002).

we find that this 5-hour delay corresponds to a maximum tidally-induced tilt of the en-229 tire Ross Ice Shelf (Figure 2). We calculate the maximum stretching stress that could 230 affect the rift associated with this tilt to be $\rho_i g \alpha L$ where ρ_i is the ice density, g is the 231 acceleration due to gravity, L is the distance from the rift to the ice front, and α is the 232 tidally-induced tilt angle. For $\alpha = 10*10^{-7}$, this suggests maximum stretching stresses 233 on the order of 1 kPa, in agreement with stress due to sea surface tilt calculated by Bassis 234 et al. (2008). Our findings are consistent with previous observations of tidally-modulated 235 glacial seismicity (Barruol et al., 2013; Podolskiy et al., 2016) and tidally-modulated ice 236 shelf flow (K. Brunt et al., 2010; Makinson et al., 2012). Furthermore, they suggest that 237 stretching stresses from tidal cycles are preferentially released at rifts and may be as-238 sociated with the processes that widen rifts after formation. 239



Figure 3. Ocean swell, infragravity waves, temperature and rift seismicity. (a) 240 Surface temperatures from AWS station Gill (blue) plotted with the histogram of rift seismicity 241 (orange) as a function of time during the deployment. (b) Spectrogram showing swell and infra-242 gravity wave band power. Black bars indicate data gaps. (c) Ocean swell power (purple line) in 243 the frequency band 0.03 - 0.15 Hz plotted with the histogram of rift seismicity. (d) Infragravity 244 wave power (green line) in the frequency band 0.015 - 0.03 Hz, plotted with the histogram of rift 245 seismicity. Spectral analysis used long period north-south component data from station DR10. 246 The Illapel, Chile tsunami arrival is indicated in pink on each panel of the figure. The seasonal 247 trend in seismicity corresponds to seasonal temperature variation, and wintertime swarms coin-248 cide with periods of extreme cold and not with swell or infragravity waves. 249

6 Temperature Controls Multi-day and Seasonal Patterns in Seismicity

The detected icequakes exhibit a distinct seasonality that correlates with surface temperature data (Figure 3a). Temperature data was recorded by station Gill (Figure

1) of the Antarctic Weather Stations project (Lazzara et al., 2012), at a distance of 80 254 km from the center of the array. In the Antarctic summer (Dec/Jan/Feb), very low lev-255 els of seismicity are observed. However, as soon as temperatures begin to decline rapidly 256 at the beginning of winter in both 2015 and 2016, the average number of events per day 257 increases dramatically, with days containing over 20 events becoming common. Further-258 more, large and rapid decreases in temperature appear to be associated with days of par-259 ticularly high activity. The coldest periods of 2015 and 2016 both correspond to days 260 with the largest number of icequakes. Although seismic noise levels are highest in the 261 summer, examination of the temporal pattern of the larger events shows that the sea-262 sonal pattern of seismicity is not due to the seasonality of the seismic noise floor (Sup-263 plementary Information and Supplementary Figure 3). 264

There are two potential mechanisms that could account for the correlation between icequake activity and temperature. First, ice experiences low temperature embrittlement when loaded in compression (Petrovic, 2003). It is therefore plausible that tidal stresses result in ductile deformation at high temperatures but brittle icequake-related deformation at lower temperatures. This explanation is consistent with studies that find seasonal variability of ice shelf material properties (Bromirski & Stephen, 2012).

Second, the uppermost portion of the ice experiences thermal contraction in response 271 to rapid temperature drops, and would thus be under tensional stress, as is observed for 272 sea ice during cold weather (Evans & Untersteiner, 1971; Richter-Menge & Elder, 1998; 273 Dempsey et al., 2018). Similar behavior has been observed in some alpine glaciers, which 274 exhibit thermal fracturing in response to cold night-time temperatures (Podolskiy et al., 275 2018; Zhang et al., 2019). Although we have not obtained source parameters for these 276 events, the association between the icequakes and tensional tidal stress, and their loca-277 tion in a rift zone, suggest that the events are dominantly tensional. Since both the tidal 278 and the thermal mechanisms produce horizontal tensional stress, it is likely that they 279 act in concert. Because short-period temperature fluctuations only propagate to a depth 280 of several meters in glacial ice (Giese & Hawley, 2015), shallow icequake locations are 281 consistent with the correlation between icequake activity and surface air temperatures. 282 However, we note the possibility that enhanced cold air circulation within the rift may 283 cause thermal contraction deeper in the ice than would otherwise be possible. 284

7 Rift Seismicity is Insensitive to Ocean Swell and Infragravity Waves

Both ocean swell and infragravity (IG) waves are poorly correlated with seismic-286 ity at WR4. We analyze the contribution of swell and IG waves by spectral analysis of 287 the horizontal north-south component data from station DR10, since ocean waves gen-288 erate large horizontal displacements on RIS (Bromirski et al., 2017). Because sea ice at-289 tenuates ocean waves (Massom et al., 2018), swell energy only reaches the array during 290 the austral summer, when seasonal sea ice is minimal in extent. Rift seismicity is far less 291 frequent in the austral summer than in the winter, and periods that contain significant 292 swell energy correspond to very low levels of seismicity at the rift (Figure 3b, 3c). Fur-293 thermore, when peak levels of seismicity are observed during wintertime icequake swarms, 294 minimal power is observed in the swell band. 295

Infragravity waves are damped less effectively than swell by winter sea ice (Bromirski 296 & Stephen, 2012), and IG wave excitation of the RIS is detected by the array year-round 297 (Figure 3b). We find that IG band power is poorly correlated with seismicity (Figure 298 3d). Most swarms occur on days that lack significant IG band power, and the largest IG 299 events recorded at the array do not correspond to swarms of seismicity. Finally, the base-300 line level of IG power is nearly constant throughout the year and does not explain the 301 seasonality observed in rift seismicity. On September 17, 2015, a tsunami generated by 302 the M_w 8.3 Illapel, Chile earthquake reached the ice shelf, exciting horizontal displace-303 ments of about 7 cm at DR10 (Bromirski et al., 2017). The tsunami arrival is marked 304 in Figure 3 and is particularly visible in the swell power time-series. However, the tsunami 305 does not correspond to an increase in seismicity at WR4. This is consistent with pre-306 vious findings that only rifts open to the ocean at the calving front propagate when a 307 tsunami arrives (Walker et al., 2013), further suggesting that WR4 is not subject to sig-308 nificant wave-induced fracture. 309

314 8 Conclusions

Two primary environmental factors control rift seismicity at WR4. Diurnally, the timing of events is well-correlated with tidally-driven changes in ice shelf slope. Over longer time periods, the timing of events is well-correlated with air temperature, with peak levels of activity in the winter and swarms of events on particularly cold days. The combination of these two factors explains the temporal patterns in seismicity that we observe

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Figure 4. Density plot of number of events as a function of tidal phase and temperature. Temperature data is from weather station Gill (Figure 1). Peak levels of seismicity are observed when temperature is low and when the shelf is most highly sloped downward toward the ice front during falling tide.

here and demonstrates the high environmental sensitivity of rift deformation. Figure 4 illustrates that maximal icequake activity occurs during cold periods when the ice shelf is sloping downward toward the ice front. We note that while low levels of icequake activity are observed at low tide, no rift seismicity is observed above -10°C. From this analysis, we conclude that although thermal and tidal stresses are both important in generating shallow icequake activity, temperature exerts the most significant control on brittle deformation at WR4.

The sequence of WR4 icequakes differs from patterns of seismicity seen in prop-327 agating rifts, and the correspondence of the locations to en echelon shear zone features 328 suggests that fracture may occur within the melange filling the rift. Swell and IG waves 329 were not correlated with rift seismicity, though they may still exert some influence on 330 rift behavior at RIS and at other ice shelves. The timing of rift activity at WR4 appears 331 to be primarily modulated by thermal and tidal stresses arising from fluctuations in air 332 temperature and changes in ice shelf slope, and this work represents a novel demonstra-333 tion of tidal influence on ice shelf processes far from the grounding line. On the Ross Ice 334 Shelf, thermal and tidal stresses act in concert with ice shelf stresses, but not wave-induced 335 stresses, to drive brittle deformation that may widen a major rift. 336

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S. D. Olinger catalogued and located the icequakes, completed the analysis of seis-346 micity and environmental forcing, and drafted the manuscript. D. A. Wiens and B. P. 347 Lipovsky provided significant contributions to the analysis and interpretation of results 348 and to the manuscript text. D. A. Wiens, R. C. Aster, A. A. Nyblade, R. A. Stephen, 349 P. Gerstoft, and P. D. Bromirski collaborated to design and obtain funding for the de-350 ployment. D. A. Wiens, R. C. Aster, R. A. Stephen, P. Gerstoft, P. D. Bromirski, and 351 Z. Chen deployed and serviced seismographs in Antarctica. All authors provided valu-352 able feedback, comments, and edits to the manuscript text. 353

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